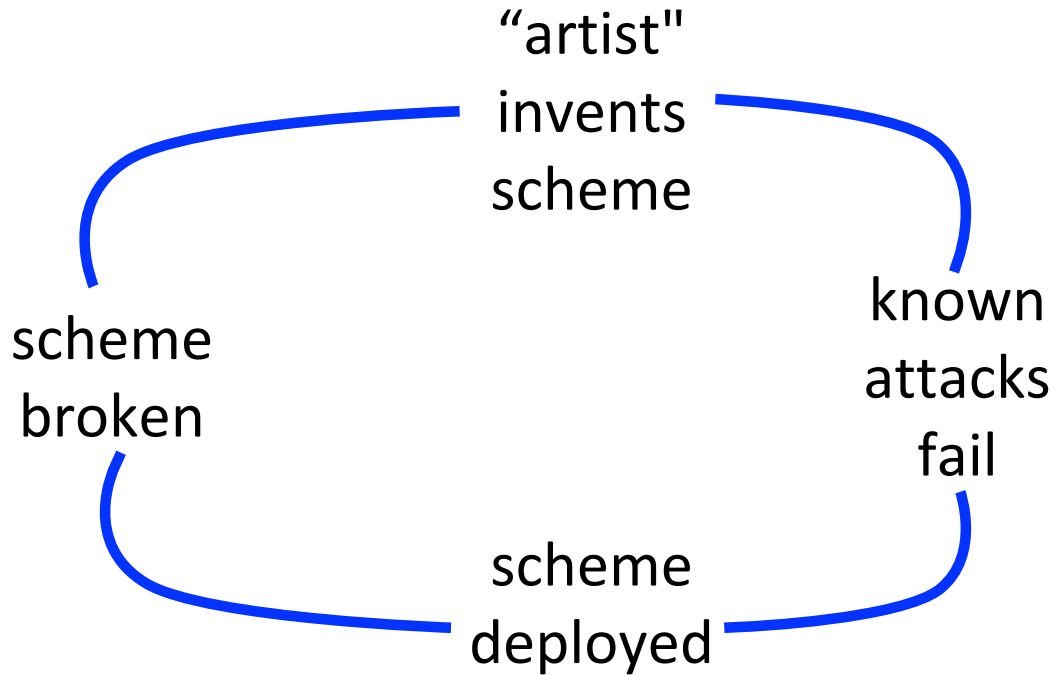


On **One-way Functions** and **Kolmogorov Complexity**

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The “Dark Ages” Crypto Cycle

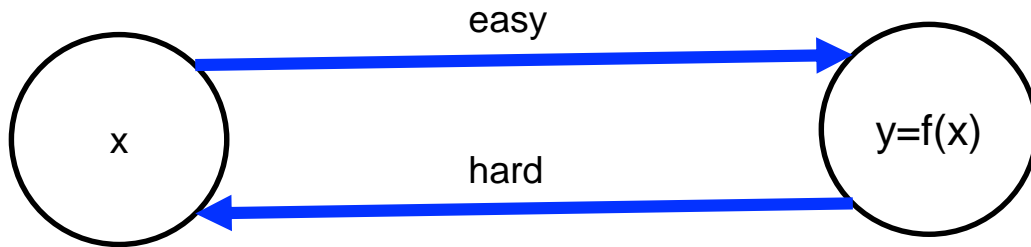
(the last 2000 years)



One-way Functions (OWF) [Diffie-Hellman'76]

A function f that is

- **Easy to compute:** can be computed in poly time
- **Hard to invert:** no PPT can invert it, even with “small” probability



Ex [Factoring]: use x to pick 2 random “large” primes p, q , and output $y = p * q$

One-way Functions (OWF) [Diffie-Hellman'76]

A function **f** that is

- **Easy to compute:** can be computed in poly time
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OWF both **necessary** [IL'89] and **sufficient** for:

- Private-key encryption [GM84,HILL99]
- Pseudorandom generators [HILL99]
- Digital signatures [Rompel90]
- **Authentication schemes** [FS90]
- Pseudorandom functions [GGM84]
- Commitment schemes [Naor90]
- Coin-tossing [Blum'84]
- ZK proofs [GMW89]
- ...



Not included:

public-key encryption, OT, obfuscation

Whether OWF exists is the most important problem in Cryptography

OWF v.s NP Hardness

Observation: $OWF \Rightarrow NP \notin BPP$

“Holy grail” [DH’76]

Prove: $NP \notin BPP \Rightarrow OWF$



In the absence of the holy-grail...

~~Discrete Logarithm Problem [DH'76]~~

~~Factoring [RSA'83]~~

Lattice Problems [Ajtai'96]

DES,
SHA,
AES...

So far, not broken...but for how long?
"Cryptographers seldom sleep well" - Micali'88

Have we really escaped from the "crypto cycle"?

QUANTUM COMPUTERS



In the absence of the holy-grail...

Discrete Logarithm Problem [DH'76]

Factoring [RSA'83]

Lattice Problems [Ajtai'96]

DES,
SHA,
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Central question: Does there exist some **natural average-case hard problem** (a “master problem”) that **characterizes existence of OWF?**

Main Theorem

For every polynomial $t(n) > 1.1n$:

OWFs exist iff **t -bounded Kolmogorov-complexity** is mildly hard-on-average

Deep Connection between Cryptography and Kolmogorov Complexity;
the **central problems in these fields are connected!**

Kolmogorov Complexity [Sol'64,Kol'68,Cha'69]

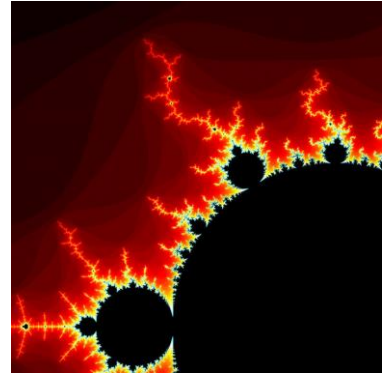
Which of the following strings is more “random”:

- 1231231231231231231
- 1730544459347394037

$K(x)$ = length of the shortest program that outputs x

Formally, we fix a universal TM U , and are looking for the length of the shortest program $\Pi = (M,w)$ s.t. $U(M,w) = x$

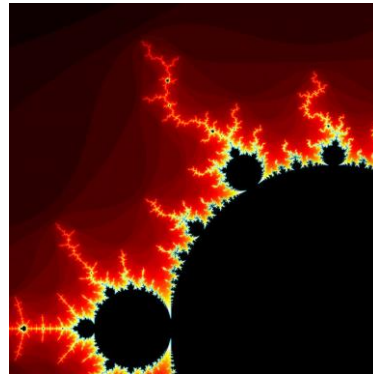
Lots of amazing applications (e.g., Godel's incompleteness theorem)
But **uncomputable**.



Time-Bounded Kolmogorov Complexity

Which of the following strings is more “random”:

- 1231231231231231231
- 1730544459347394037



$K(x)$ = length of the shortest program that outputs x

$K^t(x)$ = length of the shortest program that outputs x within time $t(|x|)$

Can K^t be **efficiently computed** when t is a polynomial?

- Studied in the Soviet Union since 60s [Kol'68,T'84]
- Independently by Hartmanis [83], Sipser [83], Ko [86]
- Closely related to **MCSP** (Minimum Circuit Size Problem) [T'84,KC'00]

Average-case Hardness of K^t

Frequential version [60's, T'84]

Does \exists algorithm that computes $K^t(x)$ for a “large” fraction of x 's?

Observation [60's, T'84]: K^t can be approximated within $d \log n$ w.p $1-1/n^d$

Proof: simply output n .

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Proof: simply output n .

Def: K^t is **mildly-HOA** if there exists a polynomial p , such that no PPT heuristic H can compute K^t w.p $1-1/p(n)$ over random strings x for inf many n .

Def: K^t is **mildly-HOA to c-approximate** if there exists a polynomial p , such that no PPT heuristic H can c -approximate K^t w.p $1-1/p(n)$ over random strings x for inf many n .

Main Theorem

The following are equivalent:

1. **OWFs** exist
2. \exists poly $t(n) > 0$, s.t. **K^t is mildly-HOA.**
3. $\forall c > 0, \epsilon > 0$, poly $t(n) > (1 + \epsilon)n$,
 K^t is mildly-HOA to $(c \log n)$ -approx.

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 K^t is mildly-HOA to $(\text{clog } n)$ -approx.

Corr [Crypto v.s. K-complexity]: For all poly $t(n) > (1 + \epsilon)n$,
OWFs exist iff K^t is mildly hard-on-average

Corr [New insight into K-complexity]: For all $c > 0, \epsilon > 0$, poly $t(n) > (1 + \epsilon)n$,
 K^t is mildly hard-on-average to $(\text{clog } n)$ -approx iff K^t is mildly hard-on-average.

Main Theorem

The following are equivalent:

1. **OWFs** exist
2. \exists poly $t(n) > 0$, s.t. **K^t is mildly-HOA.**
3. $\forall c > 0, \epsilon > 0$, poly $t(n) > (1 + \epsilon)n$,
 K^t is mildly-HOA to $(c \log n)$ -approx.

Proof: (2) \Rightarrow (1) \Rightarrow (3)

Today: just sketch idea behind (2) \Rightarrow (1)

(1) \Rightarrow (3) is the harder direction (in the paper)

Theorem 1

Assume there exists some poly $t(n) > 0$, s.t. K^t is mildly-HOA.
Then OWFs exist.

Weak OWF: “mild-HOA version” of a OWF:
efficient function f s.t. no PPT can invert f w.p. $1 - 1/p(n)$
for inf many n , for some poly $p(n) > 0$.

Lemma [Yao'82]. If a Weak OWF exists, then a OWF exists.

So, we just need to construct a weak OWF.

The OWF Construction:

Let t be a (polynomial) time-bound (the time-bound from the K-complexity problem)

Let c be a constant so that $K^T(x) < |x| + c$ for all x

Define $f(\Pi', i)$ where $|\Pi'| = n+c$, $|i| = \log(n+c)$ as follows:

- Let $\Pi = [\Pi']_{1 \rightarrow i}$ = first i bits of Π' .
- Run Π for at most $t(n)$ steps;
let y denote its output
- Output $i \parallel y$.

Reduction idea: if an PPT attacker A inverts f w.h.p, then we can compute the K^T -complexity of random strings y , by feeding $(1, y)$, $(2, y)$, .. $(n+c, y)$ to A and see which work.

Proving this works is a bit non-trivial since we feed A the wrong distribution!

In OWF experiment

(where A works):

$i \leftarrow U_{\log(n+c)}$

$y \leftarrow$ output of a random program
of length i

In the emulation by H in K^t experiment

(where we need to *prove* that A works):

$i \leftarrow K^t(y)$

$y \leftarrow U_n$

No reason to believe that the output of a random program will be close to uniform!

But: using a counting argument, we can show that they are not too far in **relative distance**
(details in the paper)

Main Theorem

For all $\epsilon > 0$, all poly $t(n) > (1+\epsilon)n$

OWFs exist iff **K^t is mildly-HOA**.

First natural avg-case problem characterizing the feasibility of the basic tasks in Crypto
(i.e., private-key encryption, digital sigs, PRGs, PRFs, commitments, authentication, ZK...)

Identified a natural “**master-problem**” for Cryptography:

Non-trivial crypto is possible iff K^t is hard.

Golden time for Crypto and K-complexity

- **Sublinear time** average-case hardness of K-complexity problems suffice to characterize **subexponential/qpoly OWF** [LP'21]
- Characterize **OWF in logspace, NC0** [RS'21,LP'21]
- Characterize OWF [LP'21], resp. NC0-OWFs [Allender et al' 21], though **NP-complete problems**
- **Unbounded K-complexity** sometimes suffices [Ilango-Ren-Santhanam'21], and even just **sparse languages** [LP'21]
- [LP'21] argued a potential approach of basing **OWF** on **EXP \neq BPP**

Thank You